

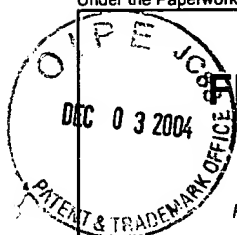
Please type a plus sign (+) inside this box → +

PTO/SB/05 (12/97)

Approved for use through 9/30/05. OMB 0851-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# **FEE TRANSMITTAL**

Note: Effective October 1, 2004.  
Patent fees are subject to annual revision.

## **Complete If Known**

|                                |  |                 |
|--------------------------------|--|-----------------|
| <b>Application Number</b>      |  | 09/689,488      |
| <b>Filing Date</b>             |  | October 12, 200 |
| <b>First Named Inventor</b>    |  | Kevin F. Smith  |
| <b>Group Art Unit</b>          |  | 2186            |
| <b>Examiner Name</b>           |  | Zhuo H. Li      |
| <b>Attorney Docket Number</b>  |  | SJO0-00-044     |
| <b>TOTAL AMOUNT OF PAYMENT</b> |  | \$1220          |

## **METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 09-0466

Deposit Account Name: IBM CORPORATION

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee In 37 CFR at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## **FEE CALCULATION**

### **1. FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 790      | 2001         | 395      | Utility filing fee     |          |
| 1002         | 350      | 2002         | 175      | Design filing fee      |          |
| 1003         | 550      | 2003         | 275      | Plant filing fee       |          |
| 1004         | 790      | 2004         | 395      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |

### **SUBTOTAL (1)**

\$ 0

### **2. CLAIMS**

| Total Claims                              | Extra                  | Fee from below | Fee Paid |
|---|------------------------|----------------|----------|
| <input type="text"/> -20 =                | <input type="text"/> x | 18             | =        |
| Ind. Claims <input type="text"/> -3 =     | <input type="text"/> x | 88             | =        |
| Multiple Dep. Claims <input type="text"/> | 0 x                    | 300            | = 0      |

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |          |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                  |          |
| 1201         | 88       | 2201         | 44       | Independent claims in excess of 3                       |          |
| 1203         | 300      | 2203         | 150      | Multiple dependent claim                                |          |
| 1204         | 88       | 2204         | 44       | Reissue independent claims over original patent         |          |
| 1205         | 18       | 2205         | 9        | Reissue claims in excess of 20 and over original patent |          |

### **SUBTOTAL (2)**

0

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 130      | 1053         | 130      | Non-English specification  |          |
| 1812         | 2520     | 1812         | 2520     | For filing a request for reexamination                                     |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1840*    | 1805         | 1840*    | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252         | 430      | 2252         | 215      | Extension for reply within second month                                    | 430      |
| 1253         | 980      | 2253         | 490      | Extension for reply within third month                                     |          |
| 1254         | 1530     | 2254         | 765      | Extension for reply within fourth month                                    |          |
| 1255         | 2080     | 2255         | 1040     | Extension for reply within fifth month                                     |          |
| 1401         | 340      | 2401         | 170      | Notice of Appeal   |          |
| 1402         | 340      | 2402         | 170      | Filing a brief in support of an appeal                                     |          |
| 1403         | 300      | 2403         | 150      | Request for oral hearing   |          |
| 1451         | 1510     | 1451         | 1510     | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive -unavoidably  |          |
| 1453         | 1370     | 2453         | 685      | Petition to revive - unintentional   |          |
| 1501         | 1370     | 2501         | 685      | Utility issue fee  |          |
| 1502         | 490      | 2502         | 245      | Design issue fee   |          |
| 1503         | 660      | 2503         | 330      | Plant issue fee  |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Petitions related to provisional applications                              |          |
| 1806         | 180      | 1806         | 130      | Submission of Information Disclosure Stmt                                  |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1801         | 790      | 2801         | 395      | Request for continued examination (RCE) (37 CFR 1.114)                     | 790      |
| 1810         | 790      | 2810         | 395      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810         | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR 1.129(b))             |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee

### **SUBTOTAL (3)**

\$ 1220

## **SUBMITTED BY**

## **Complete (if applicable)**

|                       |  |                          |  |                         |        |
|-----------------------|--|--------------------------|--|-------------------------|--------|
| Typed or Printed Name |  | David J. McKenzie        |  | Reg. Number             | 46,919 |
| Signature             |  | <i>David J. McKenzie</i> |  | Deposit Account User ID |        |
| Date                  |  | Nov 29, 2004             |  |                         |        |

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